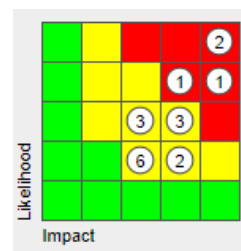



















Appendix 1: Corporate Risk Register

Q4 2023/24



Risk Code	Managed By	Assigned To	Risk Title	Description	Risk Score	Status	Trend	Last Review Date
CMT001 (SD)	Suzy Douglas	Nick Byers; Suzy Douglas	IT Infrastructure	If we do not have appropriate IT infrastructure then we may not be able to achieve our current business objectives or deliver the Transformation Programme in full resulting in significant service delivery issues and inability to deliver savings.	6 Moderate - Unlikely			17-Apr-2024
CMT002 (SD)	Suzy Douglas	Nick Byers; Suzy Douglas	IT Data and System Security	Lack of appropriate IT data and system security could lead an inability to access data and systems, data being compromised resulting in service delivery failure, significant negative financial implications and reputational damage.	12 Major - Possible			17-Apr-2024
CMT008 (NM)	Nuala McKinlay	Stuart Ford	H&S Compliance	If someone is harmed because we do not have, or we fail to comply with health and safety policy and procedures, then we may face litigation, fines and significant reputational damage.	16 Major - Likely			14-Mar-2024
CMT011 (JCu)	John Curry	Andy McLean	Major Incidents	If we are unprepared for major incidents then we may not be able to deliver our services, communities may be adversely affected and people may come to harm.	6 Moderate - Unlikely			02-Apr-2024
CMT014 (NM)	Nuala McKinlay	Nuala McKinlay	Legislative Compliance	If the Council fails to comply with the various legislation that it is bound by, then there is a risk of financial penalties, litigation, reputational damage and prosecution.	8 Major - Unlikely			12-Dec-2023
CMT016 (JCr)	Jenni Craig	Jenni Craig	Insufficient Resources for the delivery of the Commissioning Framework	If we do not have sufficient resources for delivery of the Strategic Commissioning Framework for the IJB then there may be insufficient care and support for vulnerable people in the Scottish Borders leading to poor outcomes and reputational damage.	12 Major - Possible			26-Oct-2023
CMT017 (LM)	Lesley Munro	John Fyfe; Gwyneth Lennox; Lesley Munro	C&YP and Adults at Risk of Harm	Failure to protect C&YP and adults at risk of harm may result in harm to them and reputational damage for the Council and Integration Joint Board.	8 Major - Unlikely			08-Dec-2023
CMT019 (LM)	Lesley Munro	John Fyfe; Lesley Munro	C&YP/Learners Placements (DEEP DIVE UNDERWAY)	If children, young people and learners are placed/educated outwith the local area to meet their needs, this may result in significant costs to SBC and by definition their needs may not be met locally.	25 Critical - Almost Certain			08-Mar-2024
CMT022 (CH)	Clair Hepburn	Clair Hepburn	Corporate Change and Transformation	If we fail to manage and appropriately resource major Transformation Programmes/projects being undertaken simultaneously and do not have effective change management processes in place to modernise the organisation then we may be unable to achieve corporate objectives, make savings, and conflicts with employees and their representative bodies may emerge.	6 Moderate - Unlikely			08-Dec-2023

Risk Code	Managed By	Assigned To	Risk Title	Description	Risk Score	Status	Trend	Last Review Date
CMT023 (CH)	Clair Hepburn	Clair Hepburn	Staff Recruitment and Retention	If we are unable to recruit and retain suitably qualified, experienced and motivated staff then we may not be able to deliver our objectives.	6 Moderate - Unlikely			08-Dec-2023
CMT026 (JCu)	John Curry	John Curry	Property	If we do not identify opportunities for rationalisation, savings and investment in the Operational property portfolio then there may be negative financial implications.	9 Moderate - Possible			04-Dec-2023
CMT027 (JCu)	John Curry	John Curry	Capital Projects	If Capital projects are not evaluated to take into account ROI and whole life costs then the benefits may not be realised or give value for money.	12 Major - Possible			04-Dec-2023
CMT029 (JCr)	Jenni Craig	Jenni Craig	Community Planning Partnership	If we do not ensure effective partnership working with the CPP then we will not be able to deliver on agreed strategies or realise our intended objectives and outcomes.	6 Moderate - Unlikely			21-Dec-2023
CMT030 (JCr)	Jenni Craig	Jenni Craig	Stakeholder Engagement	If we do not engage with our primary stakeholders (residents, businesses, partners, staff) then we may not gain the support and buy-in to enable the changes required to deliver services in the future.	9 Moderate - Possible			21-Dec-2023
CMT032 (JCu)	John Curry	Ian Aikman; Michael Cook; Louise Cox; Jenny Fausset	Climate Change	If the Council and its partners do not act to assess and address climate change threats, the consequences of climate change may be more adverse and the Council could fail to meet its legislative obligations and the targets it has set.	20 Critical - Likely			14-Mar-2024
CMT033 (SD)	Suzy Douglas	Suzy Douglas	Financial Sustainability	If we do not ensure that the Council is financially sustainable then we may need to withdraw services, increase charges beyond planned levels (incl. Council Tax) and there could be a failure in the provision of statutory services and increased levels of public dissatisfaction in service provision.	9 Moderate - Possible			21-Dec-2023
CMT034 (CM)	David Robertson	Chris Myers	National Care Service Partnership	Shared accountability of Adult Social Work and Social Care, as outlined in the current Scottish Government and Cosla Partnership on the National Care Service could potentially impact on local agility and decision making. However, this position continues to be negotiated at a national level and with Cosla so there could be further changes impacting on local arrangements and a subsequent need for the Council to adapt to these.	6 Moderate - Unlikely			16-Feb-2024
CMT035 (JCr)	Jenni Craig	Jenni Craig	External Providers of Sport, Leisure and Culture Services (JCr)	If we fail to manage and monitor partnering arrangements with Trusts providing Sport, Leisure and Culture activities then we may be unaware of and unable to address any performance challenges or provide required support. This could lead to negative impacts on service delivery, the achievement of objectives and there could be significant negative financial implications.	25 Critical - Almost Certain		<i>New: Trend not yet available</i>	30-Apr-2024